Transductions Computed by One-Dimensional Cellular Automata

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Cellular automata are investigated towards their ability to compute transductions, that is, to transform inputs into outputs. The families of transductions computed are classified with regard to the time allowed to process the input and to compute the output. Since there is a particular interest in fast transductions, we mainly focus on the time complexities real time and linear time. We first investigate the computational capabilities of cellular automaton transducers by comparing them to iterative array transducers, that is, we compare parallel input/output mode to sequential input/output mode of massively parallel machines. By direct simulations, it turns out that the parallel mode is not weaker than the sequential one. Moreover, with regard to certain time complexities cellular automaton transducers are even more powerful than iterative arrays. In the second part of the paper, the model in question is compared with the sequential devices single-valued finite state transducers and deterministic pushdown transducers. It turns out that both models can be simulated by cellular automaton transducers faster than by iterative array transducers.

1 Introduction

Cellular automata have widely been investigated as a massively parallel computation model. In connection with the problems to recognize syntactical patterns, or in our terms formal languages, cellular automata have been considered in [7, 8] for the first time. Over the years substantial progress has been achieved in this field but there are still some basic open problems with deep relations to other fields (see, for example, [5]). The results comprise the relations between parallel and sequential input mode, the impact of two-way and one-way inter-cell communication, the relation between different time complexities such as real time, linear time, or arbitrary time, the capabilities with respect to accept linguistic language families such as regular and context-free languages, closure properties, and decidability questions.

Computational models are not only interesting from the viewpoint of recognizing some input, but also from the viewpoint of transforming an input into an output. For example, a parser for a formal language should not only return the information whether or not the input word can be parsed, but also the parse tree in the positive case. Here, two things are important: first the information whether the input is accepted, i.e., whether the input can be parsed, and second the production of the output, i.e., the construction of a parse tree if the word can be parsed. If the word cannot be parsed, the output is no interest. This motivation led to the investigation of models such as finite state transducers or pushdown transducers which are classical finite automata or pushdown automata where each transition is associated with some output. If an input is accepted, the result of the transduction is the output of the transitions in the order they have been applied. Both models have been studied in detail (see, for example, [1, 2]), and many applications such as in the context of parsing are known.

Parallel transducers have been investigated in [3, 6]. In the first paper, one-way linear iterative arrays are introduced. In this model, the input is supplied to the leftmost cell, and the output is emitted at the

rightmost cell. In between there are as many cells as the input is long, the information flow is one-way, from left to right, and the output does not depend on the fact whether or not the input is accepted. In the latter paper, iterative array transducers are introduced, where the leftmost cell receives the input and emits the output. For the computational capacity of such devices, the time complexities for processing the input as well as for computing the output are crucial. It turned out that iterative array transducers with time complexity (real-time, real-time) are less powerful than those working in (real-time, linear-time). In turn, the latter are less powerful than iterative array transducers as well as certain deterministic pushdown transducers can be simulated by iterative arrays with time complexity (real-time, indeterministic single-valued finite state transducers are simulated by iterative arrays in (real-time, linear-time).

Here, we complement these results with investigations of the computational capacity of cellular automaton transducers compared with iterative arrays and sequential devices. The detailed definitions and two examples are given in Section 2. The results on the computational capacities compared with iterative array transducers are obtained in Section 3. First, we present a construction which shows that any iterative array transducer can be simulated by some cellular automaton transducer preserving the time complexity as long as the time complexity is 'fair'. Together with the example of a transduction not computed by any iterative array in real time, we obtain as a consequence that cellular automaton transducers are more powerful than iterative array transducer with respect to the time complexities (real-time, real-time) and (real-time, linear-time). For the combination (linear-time, linear-time) both devices are shown to be equally powerful. In Section 4, we compare the model in question with sequential machines. In particular, it is shown they can simulate single-valued finite state transducers and deterministic pushdown transducers faster than iterative arrays. The former are simulated in (real-time, real-time) whereas the latter are simulated in (real-time, linear-time).

2 Preliminaries and Definitions

We denote the rational numbers by \mathbb{Q} , and the non-negative integers by \mathbb{N} . For the empty word we write λ , the reversal of a word w is denoted by w^R , and for the length of w we write |w|. For the number of occurrences of a symbol a in w we use the notation $|w|_a$. The set of all words over the alphabet A whose lengths are at most $j \ge 0$ is denoted by $A^{\leq j}$. We write \subseteq for set inclusion, and \subset for strict set inclusion. In order to avoid technical overloading in writing, two languages L and L' are considered to be equal, if they differ at most by the empty word, that is, $L \setminus {\lambda} = L' \setminus {\lambda}$.

A (one-dimensional) two-way cellular automaton transducer is a linear array of identical deterministic finite state machines, sometimes called cells, where each cell except the two outermost ones is connected to its both nearest neighbors. We identify the cells by positive integers. The transition of a cell depends on its current state and the current states of its neighbors, where the outermost cells receive information associated with a boundary symbol on their free input lines. The cells work synchronously at discrete time steps.

The input/output mode for cellular automaton transducers is called parallel. One can suppose that all cells fetch their input symbol during a pre-initial step. Here we assume that each cell is additionally equipped with an output register that is initially empty and can be filled once by the cell. When all output registers have been filled, the transduction is completed.

Definition 1 A cellular automaton transducer (CAT) is a system $(S, F, A, B, \#, \delta)$, where

- 1. *S* is the finite, nonempty set of cell states,
- 2. $F \subseteq S$ is the set of accepting states,
- 3. $A \subseteq S$ is the nonempty set of input symbols,
- 4. *B* is the finite set of output symbols not including the special symbol \perp ,
- 5. $\# \notin S$ is the permanent boundary symbol, and
- 6. $\delta: (S \cup \{\#\}) \times S \times (S \cup \{\#\}) \rightarrow S \times (B^* \cup \{\bot\})$ is the local transition function.

A configuration of a cellular automaton transducer $M = \langle S, F, A, B, \#, \delta \rangle$ at time $t \ge 0$ is a description of its global state, which can formally be described by two mappings $c_t : \{1, 2, ..., n\} \to S$ and $o_t : \{1, 2, ..., n\} \to B^* \cup \{\bot\}$, for $n \ge 1$, which map the single cells to their current states and to their output emitted, where \bot means no output so far. The operation starts at time 0 in a so-called *initial configuration*, which is defined by the given input $w = a_1 a_2 \cdots a_n \in A^+$, and no outputs. We set $c_0^{(w)}(i) = a_i$ and $o_0(i) = \bot$, for $1 \le i \le n$. Successor configurations are computed according to the global transition function Δ . For convenience, we write δ_s for the projection on the first component of δ , that is, the successor state, and δ_o for the projection on the second component, that is, the output emitted. Let (c_t, o_t) , $t \ge 0$, be a configuration with $n \ge 2$, then its successor (c_{t+1}, o_{t+1}) is as follows.

$$c_{t+1}(i) = \begin{cases} \delta_s(c_t(i-1), c_t(i), c_t(i+1)) & \text{if } i \in \{2, 3, \dots, n-1\} \\ \delta_s(\#, c_t(1), c_t(2)) & \text{if } i = 1 \\ \delta_s(c_t(n-1), c_t(n), \#) & \text{if } i = n \end{cases}$$

For n = 1, the next state of the sole cell is $\delta_s(\#, c_t(1), \#)$. For o_t we obtain

$$o_{t+1}(i) = \begin{cases} o_t(i) & \text{if } o_t(i) \neq \bot \\ \delta_o(c_t(i-1), c_t(i), c_t(i+1)) & \text{if } o_t(i) = \bot \text{ and } i \in \{2, 3, \dots, n-1\} \\ \delta_o(\#, c_t(1), c_t(2)) & \text{if } o_t(i) = \bot \text{ and } i = 1 \\ \delta_o(c_t(n-1), c_t(n), \#) & \text{if } o_t(i) = \bot \text{ and } i = n \end{cases}$$

and, thus, Δ is induced by δ . As usual we extend Δ to sequences of configurations and denote it by Δ^* . That is, Δ^0 is the identity, $\Delta^t = \Delta(\Delta^{t-1})$, $1 \le t$, and $\Delta^* = \bigcup_{0 \le t} \Delta^t$. Thus, $(c_t, o_t) \in \Delta^*(c, o)$ indicates that it is possible for *M* to go from the configuration (c, o) to the configuration (c_t, o_t) in a sequence of zero or more steps.

An input *w* is *accepted* by a CAT if at some time step during the course of its computation the leftmost cell enters an accepting state. Transducer *M* transforms input words $w \in A^+$ into output words $v \in B^*$. For a successful transformation *M* has to accept the input, otherwise the output is not recorded. Moreover, each cell has to emit an output:

$$M(w) = v_{s}$$

if w is accepted by M, $(c_t, o_t) \in \Delta^*(c_0^{(w)}, o_0)$, $o_t(i) \neq \bot$, $1 \le i \le |w|$, and $v = o_t(1)o_t(2) \cdots o_t(|w|)$. The *transduction realized by* M, denoted by T(M), is the set of pairs $(w, v) \in A^+ \times B^*$ such that M(w) = v.

Let $t_i, t_o : \mathbb{N} \to \mathbb{N}$ be two mappings. If for all $(w, v) \in T(M)$, the input *w* is accepted after at most $t_i(|w|)$ time steps, and $o_t(i) \neq \bot$, $1 \le i \le |w|$, after at most $t_o(|w|)$ time steps, then *M* is said to be of time complexity (t_i, t_o) and we write CAT_{*t*_i, t_o}. The family of transductions realized by CAT_{*t*_i, t_o} is denoted by

 $\mathscr{T}(\text{CAT}_{t_i,t_o})$. If t_i or t_o is the identity function n, we call it *real time* and write rt. If $t_i(n)$ or $t_o(n)$ is of the form $k \cdot n$, for some $k \in \mathbb{Q}$, $k \ge 1$, we call it *linear time* and write lt.

If we build the projection on the first components of T(M), then the cellular automaton transducer degenerates to a cellular automaton acceptor (CA). The projection on the first components is denoted by L(M).

In order to clarify the notation we give two examples. The first example shows that CAT can copy their input in real time. The second example shows that CAT can sort a binary input in real time.

Example 2 The transduction $\{(w, ww) | w \in \{a, b\}^+\}$ belongs to the family $\mathscr{T}(CAT_{rt,rt})$. The basic idea is to use one register to shift the input from left to right and another register to shift the input from right to left. Additionally, two signals are started at both ends which cause the cells to emit the correct output (see Figure 1).

More detailed, let $w = a_1 a_2 \cdots a_n$ be the input and *n* be even. In the first time step, cell 1 emits $a_1 a_2$ and cell *n* emits $a_{n-1} a_n$. In the next time step, cell 2 emits $a_3 a_4$ and cell n-1 emits $a_{n-3} a_{n-2}$. This is possible since the necessary information has been shifted to the corresponding cells and their neighborhood. Generalizing this observation to $1 \le i \le \frac{n}{2}$, we obtain that cell *i* emits $a_{2i-1}a_{2i}$ and cell n-i+1 emits $a_{n-2i+1}a_{n-2i+2}$ at time *i*. If *n* is odd, the construction is similar. Now cells *i* and n-i+1 emit $a_{2i-1}a_{2i}$ and $a_{n-2i+1}a_{n-2i+2}$ at time *i*, for $1 \le i \le \lfloor \frac{n}{2} \rfloor$. Finally, cell $\lfloor \frac{n}{2} \rfloor$ emits $a_n a_1$ at time step $\lfloor \frac{n}{2} \rfloor$.

#	a_1	a_2	a_3	a_4	a_5	a_6	a_7	a_8	#
#	$a_1 a_2$	$a_1 a_3$	$a_2 a_4$	$a_3 a_5$	$a_4 a_6$	$a_5 a_7$	$a_6 a_8$	$a_7 a_8$	#
#		$a_3 a_4$	$a_1 a_5$	$a_2 a_6$	$a_3 \ a_7$	$a_4 a_8$	$a_5 a_6$		#
#			$a_5 a_6$	$a_1 a_7$	$a_2 a_8$	$a_3 a_4$			#
	[0 1			
#				a ₇ a ₈	$a_1 a_2$				#

Figure 1: Schematic computation of a $CAT_{rt,rt}$ copying input $a_1a_2\cdots a_8$. The step at which a cell has emitted its output is highlighted.

Example 3 Here, we consider the transduction $\{(w, a^{|w|_a}b^{|w|_b}) | w \in \{a, b\}^+\}$ and show that it is computed by a CAT_{*rt,rt*}. The basic idea is that any two neighboring cells where the left cell carries a *b* and the right cell carries an *a* switch their contents. By this local transpositions, all *a*'s will eventually be in the left part of the array whereas all *b*'s will be in the right part (see Figure 2).

Clearly, on any input of length *n* the correct sorting has been achieved after at most *n* time steps. There is one problem to be solved: since the transpositions are only local, a cell cannot know whether its current content is final and has to be emitted. We can cope with the problem by synchronizing all cells at time *n*. The synchronization is realized by the well-known Firing Squad Synchronization Problem (FSSP), that is implemented on an additional track. In the first time step, two instances of the FSSP are started, one in the leftmost cell and the other one in the rightmost cell. First, let *n* be even. When the leading signals of both instances meet in the center at cells $\frac{n}{2}$ and $\frac{n}{2} + 1$, they are reflected and cells $1, 2, \ldots, \frac{n}{2}$ and cells $\frac{n}{2} + 1, \frac{n}{2} + 2, \ldots, n$ are treated as two separate instances of the FSSP. Since each FSSP can be set up to synchronize *m* cells in time 2m, we obtain that $\frac{n}{2}$ cells are synchronized in time *n*. Since both FSSP work in parallel, we obtain that all cells are synchronized at time step *n*. The remaining case where *n* is odd is handled similarly.



Figure 2: Schematic computation of a $CAT_{rt,rt}$ sorting an input *babaabba* by local transpositions. The final synchronization is not depicted.

3 Computational Capacity of Cellular Automaton Transducers

This section is devoted to the computational capacity of cellular automaton transducers compared with the power of iterative array transducers as studied in [6]. While CAT are arrays of finite state machines working in parallel input/output mode, iterative array transducers receive the input and emit the output sequentially by the leftmost cell, the so-called communication cell.

Basically, an iterative array transducer (IAT) is a linear array of deterministic finite state machines, where each cell except the leftmost one is connected to its both nearest neighbors. The distinguished leftmost cell is the communication cell that is connected to its neighbor to the right and to the input/output supply (see Figure 3). Initially, all cells are in the so-called quiescent state. At each time step the communication cell reads an input symbol and writes a possibly empty string of output symbols. To this end, we have different local transition functions. All cells but the communication cell change their state depending on their current state and the current states of their neighbors. The state transition and output of the communication cell depends on its current state, the current state of its neighbor, and on the current input symbol (or if the whole input has been consumed on a special end-of-input symbol). As for cellular automaton transducers, the cells work synchronously at discrete time steps.



Figure 3: An iterative array transducer.

For details of later constructions, we provide the definition more formally. An *iterative array trans*ducer (IAT) is a system $\langle S, F, A, B, \triangleleft, s_0, \delta, \delta_0 \rangle$, where *S* is the finite, nonempty set of *cell states*, $F \subseteq S$ is the set of *accepting states*, *A* is the finite set of *input symbols*, *B* is the finite set of *output symbols*, $\lhd \notin A$ is the *end-of-input symbol*, $s_0 \in S$ is the *quiescent state*, $\delta : S^3 \to S$ is the *total local transition* function for non-communication cells satisfying $\delta(s_0, s_0, s_0) = s_0$, and $\delta_0 : (A \cup \{\lhd\}) \times S^2 \to B^* \times S$ is the *partial local transition function for the communication cell*. The IAT halts when the transition function δ_0 is not defined for the current configuration. Similar as for CAT, an input *w* is accepted when the communication cell enters an accepting state at some time *t* during the computation. The pair (w, v)belongs to the transduction computed by the IAT if *w* is accepted, the communication cell halts, and *v* is the total output emitted during the computation. The mappings t_i and t_o for the time complexities are defined analogously to CAT_{*t_i,t_o*}. If t_i or t_o is the function n + 1, we call it *real time* and write rt. If $t_i(n)$ or $t_o(n)$ is of the form $k \cdot n$, for some $k \in \mathbb{Q}$, $k \ge 1$, we call it *linear time* and write lt.

Any transduction computed by a cellular automaton can be divided into two tasks. One is the acceptance of the input, the other one the transformation of the input into the output. Both tasks have to end successfully in order to obtain a valid computation. On the one hand, this allows to modularize constructions of cellular automaton transducers as both parts can be implemented independently on different tracks. On the other hand, this implies that a language, which is not accepted by any cellular automaton in time t_i , cannot be the projection on the first components of any transduction belonging to any class $\mathcal{T}(CAT_{t_i,t_o})$. Unfortunately, it is a long-standing open problem whether there are languages accepted by two-way cellular automata in arbitrary, that is, exponential time but cannot be accepted in real time (see, for example, [5]). However, the same observation applies to transductions computed by iterative arrays. So, we obtain the following theorem.

Theorem 4 Let $t_o : \mathbb{N} \to \mathbb{N}$, $n \le t_o(n)$, be a time complexity. Then there exists a language belonging to $\mathscr{T}(CAT_{rt,rt})$, but not to $\mathscr{T}(IAT_{rt,t_o})$.

Proof The language

$$L = \{ \&x_k \& \cdots \&x_1 \$y_1 \& \cdots \&y_k \& \mid k \ge 1, x_i^R = y_i z_i \text{ and } x_i, y_i, z_i \in \{a, b\}^* \}$$

is not accepted by any real-time IA [5]. However, it is linear context free. Since all linear context-free languages are accepted by one-way cellular automata in real time [7], the transduction $\{(w, a^{|w|}) | w \in L\}$ is a witness for the assertion.

The previous theorem shows that there are transductions which cannot be computed by any iterative array that has to accept the input in real time. In fact, the limitation arises from the limitation to accept languages. This raises the question whether there are witness transductions whose projections on the first components are accepted by real-time iterative arrays, that is, the limitation is a limitation to transform the input in time. The next example answers the question for real time in the affirmative.

Example 5 In [6] it has been shown that the transduction $\{(w, w^R) | w \in \{a, b\}^*\}$ does not belong to the family $\mathscr{T}(IAT_{rt,rt})$. However, it can be computed by a $CAT_{rt,rt} M$ as follows. Transducer M performs two tasks on different tracks in parallel. The first one is to synchronize the cells in real time as has been shown in Example 3. The second task is to reverse the input in real time. So, when the cells fire they emit their current input symbol in order to complete the transduction.

The second task is computed by a cellular automaton $M' = \langle S, F, A, B, \#, \delta \rangle$ that itself uses two tracks which are implemented by the state set $S = (A \cup \{\lambda\})^2$ (see Figure 4). Let (p_1, q_1) , (p_2, q_2) , and (p_3, q_3) be arbitrary states from *S*. Then

$$\begin{split} \delta(\texttt{\#},(p_1,q_1),\texttt{\#}) &= (q_1,p_1), \\ \delta(\texttt{\#},(p_1,q_1),(p_2,q_2)) &= (p_2,p_1), \\ \delta((p_1,q_1),(p_2,q_2),\texttt{\#}) &= (q_2,q_1), \text{ and} \\ \delta((p_1,q_1),(p_2,q_2),(p_3,q_3)) &= (p_3,q_1) \end{split}$$

shift the contents of the upper track to the left and the contents of the lower track to the right. Symbols arriving at the left end are copied to the lower track, and symbols arriving at the right end are copied to the upper track. In this way the input circulates. If M' is started with $w \in A^+$ on its upper track and empty lower track, then the reversal of w is written on the lower track after in |w| time steps, that is, when the FSSP of the first task fires.



Figure 4: Space-time diagram of a two-way cellular automaton reversing its input.

Theorem 4 left open whether there is a proper inclusion between the transduction families or whether they are incomparable. Though real-time two-way cellular automata accept a strictly larger family of languages than real-time iterative arrays, we cannot conclude that there is an inclusion between the transduction families. The reason is that iterative arrays receive their input sequentially to the communication cell *and* emit the output sequentially by the communication cell as well. So, when the last input symbol is read by the leftmost IAT cell, the last output is emitted also by the leftmost cell. However, for CAT this last output has to be at the right of the remaining output. Therefore, the usual simulation of an IA by a CA where the leftmost CA cell simulates the communication cell and the input is successively shifted to the left does not work. Nevertheless, the next result shows that the simulation is possible as long as 'fair' time complexities are considered. Clearly, an iterative array transducer can emit an output up to the time complexity t_o , while in a cellular automaton transducer each cell can emit only one output. So, any time complexity t_o larger than linear time yields a trivial transduction computed by an IAT but not by any CAT and, from this point of view, is 'unfair'.

Theorem 6 Let $t_i, t_o : \mathbb{N} \to \mathbb{N}$ be two mappings so that $t_i(n) \le k_1 \cdot n$, $t_o(n) \le k_2 \cdot n$, for two constants $1 \le k_1, k_2$. Then any transduction belonging to $\mathscr{T}(IAT_{t_i, t_o})$ is computed by some CAT_{t_i, t_o} .

Proof Let $M = \langle S, F, A, B, \triangleleft, s_0, \delta, \delta_0 \rangle$ be an IAT_{*t_i,t_o*}. By standard techniques *M* can be modified such that a cell never reenters the quiescent state after having left it, and that never more than *n* cells are non-quiescent on inputs of length *n* until the transduction is completed. The former property can be achieved by introducing a new state to which non-quiescent cells change instead of the quiescent state. The latter property is obtained by grouping max{*k*₁,*k*₂} cells into one.

As mentioned above, the transduction computed by M can be divided into two tasks running on different tracks. Since any language accepted by a t_i -time iterative array is known to be accepted by a t_i -time two-way cellular automaton as well, it remains to be shown how to simulate the transformation of the input into the output by a CAT $M' = \langle S', F', A, B, \#, \delta' \rangle$.

Assume for a moment that $k_2 = 1$, that is, t_o is real time. Basically, the idea of the simulation (of the second task) is as follows (see Figures 5 and 6). Every cell of M' has five registers. In the first and second register, cells of M are simulated. So, they initially carry the quiescent state of M. At the beginning, the leftmost cell of M' simulates the communication cell of M for two time steps. Then the second cell of

M' simulates the communication cell for another two time steps, and so on. When cell *i* simulates the communication cell, then the concatenation of the first two registers of cells i, i - 1, ..., 1 represent the states of the cells 1, 2, ..., 2i - 1 or 1, 2, ..., 2i of M. In order to provide the necessary input symbols for the simulation of the communication cell, on the third track M' shifts its input to the left at every other time step. To this end, a modulo two counter is maintained in the fourth registers. When the end-of-input symbol meets the simulation of the communication cell in cell $\lceil n/2 \rceil$, the simulation of M is completed. To conclude the idea of the construction the output has to be described. In the right half of the automaton, each cell passed through by the end-of-input symbol emits λ . In the left half, each cell emits its output when it has simulated two steps of the communication cell. The output is the concatenation of the two outputs generated by the communication cell. To this end, the first output has to be remembered for one time step in the fifth register. Dependent on the parity of the length of the input, the last output possibly has to be emitted by a cell having simulated only one step of the communication cell. So, the output of the IAT is simulated in the left half of the CAT while the right half actually emits the empty word.

Formally, the construction is as follows (see Figures 5 and 6).

$$S' = S \times S \times (A \cup \{\lhd\}) \times \{0,1\} \times B^{\leq j},$$

where *j* is the length of the longest output emitted in one step by the communication cell of *M*, and \triangleleft is the end-of-input symbol of the IAT. On input $w = a_1 a_2 \cdots a_n \in A^n$, cell *i* is initially in state $(s_0, s_0, a_i, 0, \lambda)$.

		$p_1 p_2$	$p_{2} p_{3} $		
		$q_1 q_2$	q_3		#
#		i_1 i_2	i_3		
		$d_1 d_2$	$d_2 d_3 $		
		$\lambda \lambda$	λ		

Figure 5: Structure of CAT registers and denotation of their contents. Here all fifth registers are empty.

A cell that detects that its left neighbor has just filled the first two registers, starts to simulate the communication cell for two time steps. Similarly, so does the leftmost cell at initial time. As before, $\delta_{0,s}$ (δ_s) denotes the first component of the value of δ_0 (δ), while $\delta_{0,o}$ (δ_o) denotes the second component. So, for $p_1, p_2, q_1 \neq s_0$, we define

$$\begin{aligned} \delta'(\#,(s_0,s_0,i_2,0,\lambda),(s_0,s_0,i_3,0,\lambda)) &= ((\delta_{0,s}(i_2,s_0,s_0),s_0,i_2,1,\delta_{0,o}(i_2,s_0,s_0)),\lambda),\\ \delta'(\#,(p_2,s_0,i_2,1,\beta),(s_0,s_0,i_3,1,\lambda)) &= \\ &\quad ((\delta_s(p_2,s_0,s_0),\delta_{0,s}(i_3,p_2,s_0),i_3,0,\lambda),\beta\,\delta_{0,o}(i_3,p_2,s_0)), \end{aligned}$$

$$\begin{split} \delta'((p_1,q_1,i_1,0,\lambda),(s_0,s_0,i_2,0,\lambda),(s_0,s_0,i_3,0,\lambda)) &= ((\delta_{0,s}(i_2,q_1,p_1),s_0,i_2,1,\delta_{0,o}(i_2,q_1,p_1)),\lambda),\\ \delta'((p_1,q_1,i_1,1,\lambda),(p_2,s_0,i_2,1,\beta),(s_0,s_0,i_3,1,\lambda)) &= \\ &\quad ((\delta_s(p_2,q_1,p_1),\delta_{0,s}(i_3,p_2,q_1),i_3,0,\lambda),\beta\,\delta_{0,o}(i_3,p_2,q_1)). \end{split}$$

A cell that already has simulated two steps of the communication cell continues to simulate cells of the IAT by applying the following transitions, where $p_1, q_1, p_2, q_2 \neq s_0$:

$$\begin{split} \delta'(\texttt{\#},(p_2,q_2,i_2,d_2,\lambda),(p_3,q_3,i_3,d_3,\lambda)) &= \\ &\quad ((\delta_s(p_2,s_0,s_0),\delta_s(q_2,p_2,s_0),i_{2+d_2},1-d_2,\lambda),\lambda), \\ \delta'((p_1,q_1,i_1,d_1,\lambda),(p_2,q_2,i_2,d_2,\lambda),(p_3,q_3,i_3,d_3,\lambda)) &= \\ &\quad ((\delta_s(p_2,q_1,p_1),\delta_s(q_2,p_2,q_1),i_{2+d_2},1-d_2,\lambda),\lambda). \end{split}$$



Figure 6: Principle of a CAT simulating an IAT. The input is $a_1a_2 \cdots a_n$ and *m* is even. Depicted are the two consecutive configurations at time steps *m* and *m* + 1 for the IAT and for the CAT.

Finally, the cells that did not simulate a step of the communication cell behave according to the following transitions, where $p_1 \neq s_0$:

$$\begin{split} \delta'((p_1,s_0,i_1,1,\lambda),(s_0,s_0,i_2,1,\lambda),(s_0,s_0,i_3,1,\lambda)) &= ((s_0,s_0,i_3,0,\lambda),\lambda),\\ \delta'((s_0,s_0,i_1,0,\lambda),(s_0,s_0,i_2,0,\lambda),(s_0,s_0,i_3,0,\lambda)) &= ((s_0,s_0,i_2,1,\lambda),\lambda),\\ \delta'((p_1,s_0,i_1,1,\lambda),(s_0,s_0,i_2,1,\lambda),\#) &= ((s_0,s_0,\triangleleft,0,\lambda),\lambda),\\ \delta'((s_0,s_0,i_1,0,\lambda),(s_0,s_0,i_2,0,\lambda),\#) &= ((s_0,s_0,i_2,1,\lambda),\lambda). \end{split}$$

This concludes the construction for the assumption $k_2 = 1$, that is, for $t_o(n) = n$. Now let constant k_2 be at least two. In this case, the simulation is slightly modified as follows. Each cell simulates successively k_2 steps of the communication cell. In order to provide the correct input symbols the input is shifted to the left $k_2 - 1$ times within k_2 steps. To this end, a modulo k_2 counter is maintained. The simulation is completed when the rightmost cell (cell n) of the CAT has finished to simulate the communication cell. Clearly, at that time $k_2 \cdot n$ steps have been simulated. Similar to the construction above, a cell emits its output after having finished to simulate the communication cell, and the output is the concatenation of the outputs computed during these k_2 steps.

So, for the time complexities real time and linear time, the parallel input/output mode is not weaker than the sequential one. In fact, Theorems 4 and 6 imply that the former is strictly stronger for (rt, rt) and (rt, lt):

Corollary 7 The family $\mathscr{T}(IAT_{rt,rt})$ is strictly included in $\mathscr{T}(CAT_{rt,rt})$, and $\mathscr{T}(IAT_{rt,lt})$ is strictly included in $\mathscr{T}(CAT_{rt,lt})$.

Since the families of languages accepted by two-way cellular automata and iterative arrays in linear time are known to be identical, the questions for the precise relations between $\mathscr{T}(\text{IAT}_{lt,rt})$ and $\mathscr{T}(\text{CAT}_{lt,rt})$ or between $\mathscr{T}(\text{IAT}_{lt,lt})$ and $\mathscr{T}(\text{CAT}_{lt,lt})$ raise immediately.

Proposition 8 The family $\mathscr{T}(IAT_{lt,rt})$ is strictly included in $\mathscr{T}(CAT_{lt,rt})$.

Proof The inclusion $\mathscr{T}(\operatorname{IAT}_{lt,rt}) \subseteq \mathscr{T}(\operatorname{CAT}_{lt,rt})$ follows again by Theorem 6. Moreover, if the transduction $\{(w, w^R) \mid w \in \{a, b\}^*\}$ would be computable by some $\operatorname{IAT}_{lt,rt}$, then it would be computed by an $\operatorname{IAT}_{rt,rt}$, since the trivial input to be accepted is $\{a, b\}^*$. However, by Example 5 this language separates the families $\mathscr{T}(\operatorname{IAT}_{rt,rt})$ and $\mathscr{T}(\operatorname{CAT}_{rt,rt})$ and, thus, it separates $\mathscr{T}(\operatorname{IAT}_{lt,rt})$ and $\mathscr{T}(\operatorname{CAT}_{rt,rt})$.

For the last time complexity in question (lt, lt) we obtain a different situation. The parallel and sequential input/output modes are equally powerful.

Theorem 9 The families $\mathscr{T}(IAT_{lt,lt})$ and $\mathscr{T}(CAT_{lt,lt})$ are identical.

Proof The inclusion $\mathscr{T}(\text{IAT}_{lt,lt}) \subseteq \mathscr{T}(\text{CAT}_{lt,lt})$ follows once more by Theorem 6.

Conversely, an IAT_{*lt,lt*} can simulate a CAT_{*lt,lt*} as follows. In a first phase, it reads the input and stores it successively in its cells. In a second phase, the iterative array transducer starts a FSSP in the communication cell, that synchronizes the *n* cells within 2n - 2 time steps. Finally, all cells start the simulation of the CAT at the same time. Clearly, the iterative array transducer obeys linear time bounds if the cellular automaton transducer does.

The previous result can be generalized to arbitrary time complexities beyond linear time as long as the iterative arrays use linear space only. For space complexities beyond linear space, clearly, iterative arrays are stronger than cellular automata, since the latter are linearly space bounded by definition.

4 Comparison with Finite State Transducers and Pushdown Transducers

Here, we turn to compare cellular automaton transducers with finite state transducers (FST) and pushdown transducers (PDT). These devices are in essence finite automata and pushdown automata, where each transition is associated with a possibly empty output word (see [1]). In their most general form, FST and PDT are nondeterministic devices, that is, the partial transition function of an FST maps from $S \times (A \cup \{\lambda\})$ into the finite subsets of $S \times B^*$. As above, *S* denotes the state set and *A* the input alphabet. The partial transition function of a PDT maps from $S \times (A \cup \{\lambda\}) \times G$ into the finite subsets of $S \times B^* \times G^*$, where *G* denotes the pushdown alphabet. Since a nondeterministic transducer may transform an input into different outputs, which is impossible for deterministic CAT, in the sequel we only study deterministic, unambiguous, and single valued devices.

An FST *M* is called *single valued* (SFST) if for all $(w_1, v_1), (w_2, v_2) \in T(M)$ either $(w_1, v_1) = (w_2, v_2)$ or $w_1 \neq w_2$. An SFST is said to be *unambiguous* (UFST) if for all $(w, v) \in T(M)$ there is a unique computation transforming *w* into *v*. Finally, a UFST is *deterministic* (DFST) if any computation is

deterministic. It has been shown in [9] that every single-valued finite state transducer can be simulated by an unambiguous one. Furthermore, it is known (see, for example, [9]) that

$$\mathscr{T}(\mathsf{DFST}) \subset \mathscr{T}(\mathsf{UFST}) = \mathscr{T}(\mathsf{SFST}).$$

The notions of single-valued PDT (SPDT), unambiguous PDT (UPDT), and deterministic PDT (DPDT) are defined analogously. Additionally, a UPDT is called *real-time deterministic* (DPDT_{λ}) if it is not allowed to move on empty input. The following proper hierarchy is known: (see, for example, [6])

$$\mathscr{T}(\mathsf{DPDT}_{\lambda}) \subset \mathscr{T}(\mathsf{DPDT}) \subset \mathscr{T}(\mathsf{UPDT}) \subset \mathscr{T}(\mathsf{SPDT}).$$

In [6] it has been shown that any DFST can be simulated by some $IAT_{rt,rt}$, and any SFST can be simulated by some $IAT_{rt,lt}$. Here, we prove that both devices can be simulated by some CAT as well. Interestingly, the device with parallel input/output mode can compute the transductions fast, in particular in real time, which is in contrast to the devices with sequential input/output mode.

Lemma 10 The families $\mathscr{T}(DFST)$ and $\mathscr{T}(SFST)$ are strictly included in $\mathscr{T}(CAT_{rt,rt})$.

Proof We consider the transduction $\{(w, w^R) | u \in \{a, b\}^*\}$ of Example 5 which belongs to $\mathscr{T}(CAT_{rt,rt})$, but clearly cannot be computed by any finite state transducer. Next, we describe how a $CAT_{rt,rt}$ can simulate an SFST. Trivially, this construction applies to DFST as well. The idea of the simulation is similar to the construction for $IAT_{rt,lt}$ given in [6]. However, here we can reduce the time complexity and, thus, have to cope with the problem of speeding up the computation to real time.

Let $M = \langle S, F, A, B, s_0, \delta \rangle$ be an unambiguous SFST. Due to a result in [9] we may assume without loss of generality that M does not move on empty input. First, from M a nondeterministic finite automaton $M_{NFA} = \langle S, F, A, s_0, \delta' \rangle$ is extracted that accepts L(M). Then, automaton M_{NFA} is converted into an equivalent deterministic finite automaton M_{DFA} by the powerset construction.

Now we turn to the construction of a $CAT_{rt,rt} M'$ which simulates M. Transducer M' has several tracks. The input is stored in the first register and, additionally, its second register is used to shift the input to the left in every time step. In the third register of the leftmost cell the deterministic finite automaton M_{DFA} is simulated which receives its input on the second track. Now M' accepts if and only if M_{DFA} accepts the input w at time |w|.

The second task of M' is to compute the output. For this purpose, the unique accepting computation of M_{NFA} has to be identified among all computations on w. As a first step, automaton M_{NFA} is converted into an equivalent right linear grammar G_{NFA} with axiom X. The productions of G_{NFA} have three different forms:

- 1. $X \to a[q']$ for all transitions $q' \in \delta'(s_0, a)$ with $a \in A$,
- 2. $[q] \rightarrow a[q']$ for all transitions $q' \in \delta'(q, a)$ with $q \in S, a \in A$,
- 3. $[q] \rightarrow a$ for all transitions $q' \in \delta'(q, a)$ with $q \in S, q' \in F$, and $a \in A$.

So, every production in G_{NFA} corresponds to a transition rule in M_{NFA} and M and, thus, corresponds to an output $u \in B^*$.

Let $w = a_1 a_2 \cdots a_n$. We consider sets V_1, V_2, \ldots, V_n of nonterminals from G_{NFA} so that $Y \in V_i$, if and only if there is a derivation $Y \Rightarrow^* a_i a_{i+1} \cdots a_n$ in G_{NFA} . Set V_n includes exactly all nonterminals Y for which the production $Y \rightarrow a_n$ belongs to G_{NFA} . In general, for $1 \le i < n$, the set V_i includes exactly all nonterminals Y for which the production $Y \rightarrow a_i Z$ belongs to G_{NFA} and $Z \in V_{i+1}$. Clearly, set V_i can be computed from a_i and V_{i+1} . Let us assume for a moment that *n* is even. The next construction step is to set up M' so that V_1, V_2, \ldots, V_n are computed in the cells $\frac{n}{2} + 1, \frac{n}{2} + 2, \ldots, n$ within $\frac{n}{2}$ time steps. To this end, on an additional track the input is shifted to the right in every time step. Moreover, in the first step the rightmost cell computes the sets V_{n-1} and V_n with the knowledge of a_{n-1} and a_n . In the next time step, cell n-1 computes the sets V_{n-3} and V_{n-2} with the knowledge of $a_{n-3}, a_{n-2}, V_{n-1}$, and V_n . In general, cell n-i+1 computes the sets $V_{n-2(i-1)-1}$ and $V_{n-2(i-1)}$ in time step *i* with the knowledge of $a_{n-2(i-1)-1}$, $a_{n-2(i-1)}$, $V_{n-2(i-1)+1}$, and $V_{n-2(i-1)+2}$. Additionally, the symbols $a_{n-2(i-1)-1}$ and $a_{n-2(i-1)}$ are stored in another two registers. Thus, at time step $\frac{n}{2}$ the sets V_1 and V_2 are computed in cell $\frac{n}{2} + 1$. (see Figure 7 for an example). The case when *n* is odd is handled similarly. Then, the sets V_1, V_2, \ldots, V_n are computed in the cells $\left\lceil \frac{n}{2} \right\rceil, \left\lceil \frac{n}{2} \right\rceil + 1, \ldots, n$ within $\left\lceil \frac{n}{2} \right\rceil$ time steps.

From the sets V_i now the unique accepting computation path of M is extracted. Clearly, $w \in L(G_{NFA})$ if and only if $X \in V_1$. Moreover, there is only one production of the form $X \to a_1Z_1$ in V_1 . Otherwise the accepting path would not be unique. For the same reason there is only one production of the form $Z_1 \to a_2Z_2$ in V_2 , and so on. Let us again assume for a moment that n is even, and let the unique sequence of productions that derive w be p_1, p_2, \ldots, p_n . At time step $\frac{n}{2} + 1$, the cells $\frac{n}{2}$ and $\frac{n}{2} + 1$ determine the productions p_1 and p_2 . This is possible, since both cells can identify themselves in time step $\frac{n}{2} + 1$, and all necessary information is available in the cells and their neighborhoods. Additionally, the productions computed are sent to the left on an additional track. Furthermore, in cell $\frac{n}{2} + 1$ a signal R is sent to the right. In the next time step, cell $\frac{n}{2} + 1$ determines p_3 and by signal R cell $\frac{n}{2} + 2$ is caused to determine p_4 . Both productions are subsequently shifted to the left. In general, R arrives at cell $\frac{n}{2} + i$ at time step $\frac{n}{2} + i$ and causes cell $\frac{n}{2} + i - 1$ to determine p_{2i-1} and cell $\frac{n}{2} + i$ to determine p_{2i} . Again, all necessary information is available in the cells and their neighborhoods. The case when n is odd can be handled similarly again. In this case, the productions p_1, p_2, \ldots, p_n are computed in the same way in the cells $\left\lceil \frac{n}{2} \right\rceil - 1, \left\lceil \frac{n}{2} \rceil, \ldots, n$ and similarly are sent to the left.

By construction, production p_i reaches cell *i* at time step *n*, for $1 \le i \le n$. At this moment, the output $u_i \in B^*$ associated with the transition rule that led to the definition of the production has to be emitted in cell *i*. So, it remains to be ensured that all cells are synchronized at time step *n*. As is described in Example 3, this can be achieved by simulating an FSSP on another track.

Altogether, we obtain that M' simulates M, accepts and emits the output in real time. Thus, SFST M is simulated by a CAT_{*r*t,*r*t}.

The next result follows from known results on IAT_{*rt,rt*} and the simulation of IAT by CAT as presented in Section 3. It is worth mentioning that $\mathscr{T}(SFST)$ and $\mathscr{T}(DPDT_{\lambda})$ are incomparable [6]. Here, we obtain that both classes are included in $\mathscr{T}(CAT_{rt,rt})$.

Lemma 11 The family $\mathscr{T}(\text{DPDT}_{\lambda})$ is strictly included in $\mathscr{T}(\text{CAT}_{rt,rt})$.

Proof The assertion follows from the fact that $\mathscr{T}(\text{DPDT}_{\lambda})$ is strictly included in $\mathscr{T}(\text{IAT}_{rt,rt})$ ([6]) and that $\mathscr{T}(\text{IAT}_{rt,rt})$ is strictly included in $\mathscr{T}(\text{CAT}_{rt,rt})$ due to Corollary 7.

Finally, we will show that any DPDT can be simulated by some $CAT_{rt,lt}$. This is again an improvement in comparison with IAT. It has been shown in [6] that any DPDT can be simulated by some $IAT_{lt,lt}$ which in turn can be simulated by some $CAT_{lt,lt}$ owing to Theorem 9. Here, we obtain that the simulation can already be achieved by some $CAT_{rt,lt}$.

Lemma 12 The family $\mathscr{T}(DPDT)$ is strictly included in $\mathscr{T}(CAT_{rt,lt})$.

#	a_1	a_2	a_3	a_4	a_5	a_6	a_7	a_8	#
#	# , <i>a</i> ₁	a_1, a_2	a_2, a_3	a_{3}, a_{4}	a_4, a_5	a_5, a_6	a_{6}, a_{7}	$a_7 a_8 V_7 V_8$	#
#		# ,a ₂	a_1, a_3	a_2, a_4	a_{3}, a_{5}	a_4, a_6	$a_5 \ a_6 \ V_5 \ V_6$	$egin{array}{c} a_7 & a_8 \ V_7 & V_8 \end{array}$	#
#			# , <i>a</i> ₃	a_1, a_4	a_2, a_5	$a_3 \ a_4 \ V_3 \ V_4$	$a_5 \ a_6 \ V_5 \ V_6$	$a_7 \ a_8 \ V_7 \ V_8$	#
#				# , <i>a</i> ₄	$\begin{array}{c}a_1 \ a_2\\V_1 \ V_2\end{array}$	$a_3 \ a_4 \ V_3 \ V_4$	$a_5 \ a_6 \ V_5 \ V_6$	$a_7 \ a_8 \ V_7 \ V_8$	#
#				p_1	p_2	$a_3 \ a_4 \ V_3 \ V_4$	$a_5 \ a_6 \ V_5 \ V_6$	$a_7 \ a_8 \ V_7 \ V_8$	#
#			p_1	p_2	p_3	p_4	$a_5 \ a_6 \ V_5 \ V_6$	$a_7 \ a_8 \ V_7 \ V_8$	#
#		p_1	p_2	p_3	p_4	p_5	p_6	$a_7 \ a_8 \ V_7 \ V_8$	#
#	p_1	p_2	p_3	p_4	p_5	p_6	p_7	p_8	#

Figure 7: Schematic computation of a $CAT_{rt,rt}$ simulating an SFST on input $a_1a_2\cdots a_8$. In the first four time steps, the sets V_1, V_2, \ldots, V_8 are computed in cells 5, 6, 7, and 8. Thus, an accepting path has been stored in the last four cells which is extracted in the last four time steps and the corresponding output of the transitions is distributed to the correct cells. The simulation of M_{DFA} and the synchronization is not depicted.

Proof The transduction $T = \{(ww, wc^{|w|}) | w \in \{a, b\}^+\}$ cannot be computed by any pushdown transducer, since the language $\{ww | w \in \{a, b\}^+\}$ is not context free.

On the other hand, transduction *T* can be computed by a $CAT_{rt,rt}$ and, thus, by a $CAT_{rt,lt}$. To this end, as in Example 3 two instances of the FSSP are initiated at both ends of the array that cause each cell to fire at time *n*. Firing of a cell in the left half means to emit the original input symbol and firing in the second half means to emit symbol *c*. Since the language $\{ww \mid w \in \{a, b\}^+\}$ is accepted by a real-time CA this shows $T \in \mathcal{T}(CAT_{rt,rt})$.

Given a deterministic pushdown transducer M with state set S and pushdown store alphabet G, we next construct a CAT_{rt,lt} M' simulating M.

There is a constant $k_1 \ge 0$ such that M cannot push more than k_1 pushdown symbols in one time step, and M can pop at most one pushdown symbol in one time step. Moreover, there is a constant $k_2 \le |S| \cdot |G|$ such that M cannot perform more than k_2 subsequent moves on empty input. From these facts follows that M works in linear time. Let $k = \max\{k_1, k_2\}$.

Basically, the CAT_{*rt*,*lt*} M' computes five tasks on different tracks. On the first track, a deterministic pushdown automaton is simulated in real time that accepts the language L(M). The details of such a simulation can be found in [4].

It remains to be shown how M' computes the output of M. The second track is used as follows. The leftmost cell simulates the state transitions of M while on request the other cells shift the input to the left, thus, providing the input for the leftmost cell. In detail, when the simulation of M consumes an input symbol, a signal is sent to the right which causes the cells to shift the input one position to the left. Otherwise, when M simulates a transition on empty input no signal is sent.

The pushdown store of M is simulated on the third track. In [4] it has been shown how to simulate the data structure pushdown store without loss of time. Since here at most k symbols are pushed in one time step, the simulation can be realized by grouping k pushdown symbols together.

On the fourth track, a data structure queue is implemented as is also shown in [4]. The leftmost cell

stores transitions simulated on the second track into this queue. Since at most k consecutive transitions are on empty input, grouping at most k + 1 transitions into one symbol to be stored ensures that any symbol in the queue represents at least one transition consuming an input symbol. So, at most as many symbols are stored as the input is long. Since M works in linear time, it is not difficult to see that all these tasks are simulated by M' in linear time as well.

The final task is to emit the output. After acceptance of the input, on the fifth track a signal is started from the leftmost cell to the right which provides sufficient time so that all symbols are properly stored in the queue. Having reached the rightmost cell, the signal changes its direction and moves back to the leftmost cell. On its way it causes each cell passed through to emit $u_1u_2\cdots u_m \in B^*$, if it stores (p_1, p_2, \ldots, p_m) in its fourth register (the queue register), where u_i is the output associated with transition p_i , $1 \le i \le m$. If the fourth register is empty, the cell emits λ . When the signal arrives at the leftmost cell again, the transduction is completed in linear time.

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